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An arbitrary-order Virtual Element Method for the Helmholtz equation applied to wave field calculation in port

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ABSTRACT

The Virtual Element Method (VEM), as a high-order polytopal method, offers significant advantages over traditional Finite Element Methods (FEM). In particular, it allows the handling of polytopal or non-conforming meshes which greatly simplificates the mesh generation procedure. In this paper, the VEM is used for the discretization of the Helmholtz equations with a Robin-type absorbing boundary condition. This problem is crucial in various fields, including coastal engineering, oceanography and the design of offshore structures. Details of the VEM implementation with Robin boundary condition are given. Numerical results on test cases with analytical solutions show that the methods can provide optimal convergence rates for smooth solutions. Then, as a more realistic test case, the computation of the eigenmodes of the port of Cherbourg is carried out.

1. Introduction

In recent years, coastal modeling has emerged as a critical scientific and engineering challenge, particularly in the context of rising sea levels and increased storm activity induced by climate change. The simulation of wave interactions with coastal and port infrastructure plays a vital role in designing resilient maritime environments. Applications span large-scale ocean dynamics [1–3], sediment transport [4–6] and coastal protection [7,8], each requiring precise numerical methods tailored to the specific physical and geometrical context.

In the specific case of port hydrodynamics, the propagation and reflection of waves can be effectively modeled using the Helmholtz equation [9], especially in configurations with constant seabed depth. While more complex models such as the mildslope equation [10] can account for slowly varying bathymetries, their range of validity remains limited to slopes below a certain threshold (typically less than 1/3) [11]. For many practical applications, the use of the Helmholtz model remains a reliable and computationally efficient choice.

The numerical treatment of the Helmholtz equation poses significant challenges, especially in the high-frequency regime, due to the pollution effect and the need for accurate boundary treatments. Classical numerical approaches such as the Finite Element Method (FEM) or the Boundary Element Method (BEM) often require structured meshes or impose constraints on the element shape. In recent years, the *Virtual Element Method* (VEM) has emerged as a robust generalization of FEM, particularly suitable for handling polytopal meshes and complex geometries. Originally introduced in [12], the VEM combines the flexibility of the Mimetic Finite Difference (MFD) methods [13] with the variational framework of the FEM. It retains conformity in H^1 and naturally supports non-conforming or polygonal meshes, a feature of particular interest when dealing with real-world coastal domains.

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Fig. 1. Sketch of a free surface elevation ζ in the (*x*, *z*)-plane.

The application of VEM to the Helmholtz equation has gained growing attention in recent years. Of particular relevance is the enrichment strategy proposed by Perugia et al. [14], which enhances the approximation properties of VEM for high-frequency wave propagation problems. This approach enables the design of Trefftz-type VEM spaces enriched with plane waves, leading to improved numerical dispersion properties.

Furthermore, the simulation of wave propagation in open or unbounded domains, such as harbors connected to the sea, requires special treatment of artificial boundaries to avoid spurious reflections. While absorbing boundary conditions such as the Robin condition are commonly used, a more physically rigorous approach involves coupling domain-based methods like VEM with boundary integral formulations such as BEM. Recent works by Desiderio et al. [15] and Gatica et al. [16] have explored this coupling in detail, providing stable and efficient formulations for problems defined in exterior domains. These contributions open the way for advanced hybrid methods tailored to coastal and offshore engineering.

Despite the maturity of FEM and BEM in coastal modeling, there is still a lack of flexible numerical methods, with well-defined boundary conditions (such as Robin's), capable of accurately capturing wave behavior in realistic harbor configurations. This work aims to fill this gap by proposing a high-order VEM approach to solving the Helmholtz equation with absorbing boundary conditions, and validating it on academic and realistic port configurations.

The remainder of this paper is organized as follows. Section 2 introduces the physical background and the mathematical formulation of the model problem. Section 3 details the construction of the VEM discretization. Implementation aspects, including the treatment of Robin boundary conditions, are discussed in Section 4. Section 5 presents validation results and a real-world application to the Port of Cherbourg. Finally, we discuss the results in Section 6 and draw some conclusions in Section 7.

2. Physical context

In this section, we will present the physical and mathematical modeling of wave reflection. First, we will derive the mild-slope and Helmholtz equations, which will capture wave behavior over variable and constant seabed depths, respectively. Then, we will present the Helmholtz problem with mixed boundary conditions, enabling the analysis of wave interactions within confined domains.

2.1. Hydrodynamics for wave reflection

We are interested in deriving a model equation to study wave reflection in ports. Let us consider an inviscid fluid with constant density evolving in a 3D canal ending with a wall. As depicted in Fig. 1, the *z*-axis points upward with origin z = 0 set at the mean water level. The sea bottom is defined below the origin by z = -h(x, y), where *h* is the water column height that does not vary in time. We then assume that the sea bottom is not susceptible to accretion or erosion. Finally, the free-surface elevation is defined above the origin by $z = \zeta(x, y, t)$.

The flow is assumed to be incompressible and irrotational. Hence, as it is well-known (see e.g. [17]), there exists a velocity potential $\Phi(x, y, z, t)$ that satisfies the Laplace equation:

 $\Delta \Phi = 0.$

Moreover, assuming a no-slip condition $\nabla \Phi \cdot \mathbf{n} = 0$ at the bottom z = -h, where \mathbf{n} is the outward normal to the seabed, and restricting the study of the free-surface elevation at z = 0 to the context of Airy's linear approximation wave theory [18], one can express Φ as a free-surface potential ϕ in the (x, y)-plane scaled by a certain function:

$$\Phi(x, y, z, t) = f(z, h)\phi(x, y, t)$$

where, according to [11], the scaling function f reads

$$f(z,h) = \frac{\cosh(\kappa(z+h))}{\cosh(\kappa h)}$$

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with $\kappa(x, y)$ being the wavenumber which can be retrieved from the linear dispersion relation

$$\omega = g\kappa \tanh(\kappa h)$$

with g the Earth's gravity and $\omega = 2\pi/T$ the constant angular frequency associated with the wave period T. Following the same principle as in [19], that is exploiting the Lagrangian formulation, we obtain the following set of equations

$$\begin{split} g \frac{\partial \zeta}{\partial t} + \boldsymbol{\nabla} \cdot (C_p C_g \boldsymbol{\nabla} \phi) + (\kappa^2 C_p C_g - \omega^2) \phi &= 0 \\ \frac{\partial \phi}{\partial t} + g \zeta &= 0 \end{split}$$

which can be restated to eliminate the free-surface potential ϕ and thus having the time-dependent mild-slope equation for the free-surface elevation

$$-\frac{\partial^2 \zeta}{\partial t^2} + \nabla \cdot (C_p C_g \nabla \zeta) + (\kappa^2 C_p C_g - \omega^2) \zeta = 0.$$
⁽¹⁾

From here, we can derive the mild-slope model and then the Helmholtz model for simulating wave reflection in a given port geometry.

Mild-slope equation. The mild-slope equation allows us to describe the propagation of the reflected wave above a certain depth z = -h(x, y). To derive it from Eq. (1), we apply the same principle as in [20], namely, we decompose the free-surface elevation into an incident and a reflected part

$$\zeta = \zeta_R + \zeta_I$$

where both parts can be split into their real-valued amplitude and phase

$$\zeta_R(x, y) = u(x, y)e^{-i\omega t}$$
 and $\zeta_I(x, y) = v(x, y)e^{-i\omega t}$

where the incident part is defined through θ , the incident wave angle, and $v_{\rm max}$, the maximum wave amplitude

$$v(x, y) = v_{\max} e^{-i\kappa \cdot x}$$
 with $\kappa = \kappa(\cos(\theta), \sin(\theta))^{\mathsf{T}}$.

By injecting the expression of ζ_R in Eq. (1), one can find the steady mild-slope equation for the time-independent reflected amplitude (reduced dimension).

$$\nabla \cdot (C_p C_g \nabla u) + \kappa^2 C_p C_g u = 0.$$
⁽²⁾

Helmholtz Equation. The Helmholtz equation constitutes a weaker model than the mild-slope equation in the sense that it relies on the hypothesis of constant depth h. Moreover, assuming $C_g = C_p/2$ (as in shallow water approximation) and noting that $C_p = \omega/\kappa$ is constant, one can rewrite the mild-slope equation Eq. (2) as the Helmholtz equation [9]:

$$\Delta u + \kappa^2 u = 0. \tag{3}$$

2.2. Model problem

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Let us consider a polygonal domain $\Omega \subset \mathbb{R}^2$ whose boundary is partitioned by mutually disjoint subsets such that $\partial \Omega$ = $\overline{\Gamma_D} \cup \overline{\Gamma_N} \cup \overline{\Gamma_R}$, with $|\Gamma_R| > 0$. The model problem is therefore the following boundary value problem composed of the Helmholtz equation Eq. (3) together with mixed boundary conditions:

$$\begin{cases} \text{Find } u \in H^{1}(\Omega; \mathbb{C}) \text{ such that} \\ \Delta u + \kappa^{2} u = f, & \text{in } \Omega \\ u = g_{D}, & \text{in } \Gamma_{D}, \\ \frac{\partial u}{\partial n} = g_{N}, & \text{in } \Gamma_{N}, \\ \frac{\partial u}{\partial n} + i\kappa u = g_{R}, & \text{in } \Gamma_{R}. \end{cases}$$

$$(4)$$

where $f \in H^{-1}(\Omega)$ is the load term and $g_D \in H^{\frac{1}{2}}(\Gamma_D)$, $g_N \in H^{-\frac{1}{2}}(\Gamma_N)$ and $g_R \in H^{-\frac{1}{2}}(\Gamma_R)$ are the functions imposed at the corresponding borders. According to the Theorem 2.1 of [21], this problem is well-posed under the assumptions made on the domain and its boundary.

Let us define the following spaces:

$$V_0 := \{ v \in H^1(\Omega; \mathbb{C}) : v|_{\Gamma_D} = 0 \}$$
$$V_D := \{ v \in H^1(\Omega; \mathbb{C}) : v|_{\Gamma_D} = g_D \}$$



Fig. 2. Fundamental building blocks for the Virtual Element Method.

and let $b: V_D \times V_0 \to \mathbb{C}$, $m: V_D \times V_0 \to \mathbb{C}$ and $r: V_D \times V_0 \to \mathbb{C}$ three bilinear forms and $l: V_0 \to \mathbb{C}$ a linear form defined as follows

 $b(u,v) = -\int_{-\infty}^{\infty} \nabla u \cdot \overline{\nabla v}$ $m(u,v) = \kappa^2 \int_{\Omega} u\bar{v}$ $r(u,v) = -i\kappa \int_{\Gamma_R} u\bar{v}$ $l(v) = \int_{\Omega} f \bar{v} - \int_{\Gamma_N} g_N \bar{v} - \int_{\Gamma_R} g_R \bar{v}.$

The weak formulation of Eq. (4) then writes

 $\begin{cases} \text{Find } u \in V_D \text{ such that} \\ a(u, v) = l(v), \quad \forall v \in V_0 \end{cases}$

where for all $u, v \in V_D \times V_0$, a(u, v) = b(u, v) + m(u, v) + r(u, v)

3. The Virtual Element Method

In this section, we expose the building steps of the VEM. As mentioned before, the method is similar to the FEM in that it follows the same general construction—in fact, one can easily write a virtual element code starting from a finite element code since the biggest difference lies in the computation of local matrices. The VEM can be synthesized and implemented following four main steps divided into building blocks as depicted in Fig. 2.

Once the domain Ω has been decomposed by a polygonal mesh Ω_h , the first step consists of building the Ciarlet triplet $(T, V_h(T), \Sigma_T)$ where T is an element of the mesh Ω_h , $V_h(T)$ is the local virtual space defined on T and Σ_T is the local set of degrees of freedom attached to T.

Based on this three-block foundation, one can move up to the second block by constructing the global virtual element space V_h by continuously glueing the local virtual spaces over all mesh elements. However, the functions contained in the local virtual spaces are not known explicitly as in finite element but are defined implicitly in such a way that they solve a local PDE on each mesh element-which is why they are referred to as "virtual". Therefore, in order to render those functions computable, one needs to define a projection operator Π onto a polynomial space, such that it can be computed from the degrees of freedom. Thanks to the projection operator Π , the global space can be split into a polynomial part ΠV_h and a non-polynomial part $(I - \Pi)V_h$. In that regard, the virtual space is richer than the finite element space but remains a conforming approximation in the sense that $V_h \subset V_D$.

Now that an approximation space has been defined, one can move to the third block by specifying the discrete variational problem where the discrete bilinear form a_h is composed of two parts inherited from the virtual space projection decomposition: a consistency part computed from Π and a stability part computed from $I - \Pi$.

Finally, the last step corresponds to solving the linear system which is strictly equivalent to the discrete variational problem, it is simply restated in algebraic form.

3.1. Preliminaries

Mesh decomposition. As in FEM, the first step for building the method is the discretization of the computational domain Ω . But, contrary to the FEM, the VEM can handle polygonal meshes made out of a wide variety of element shapes-that is, in the 2D case, not only simplices or quadrangles, but also general polygons. This geometrical flexibility allows us to consider non-conforming elements with hanging nodes as well as non-convex elements.

We therefore consider a polygonal decomposition $(\Omega_h)_h$ of the computational domain Ω defined as below.

(5)

Definition 3.1 (*Polygonal Mesh*). A polygonal mesh is a tuple $\Omega_h = (\mathcal{T}_h, \mathcal{E}_h, \mathcal{V}_h)$ such that

1. T_h is a finite collection of non-empty open polygons T with boundary ∂T , centroid x_T and diameter h_T that forms a partition of Ω , i.e.

$$\overline{\Omega} = \bigcup_{T \in \mathcal{T}_h} \overline{T} \qquad \forall \ T_1, T_2 \in \mathcal{T}_h, \ T_1 \neq T_2, \ T_1 \cap T_2 = 0 \tag{6}$$

- 2. \mathcal{E}_h is a finite collection of non-empty open one-dimensional hyperplanes in such a way that for any edge $e \in \mathcal{E}_h$, either there exist $T_1, T_2 \in \mathcal{T}_h$, such that $e \subset \partial T_1 \cap \partial T_2$ (in that case, *e* is called an internal edge), either there exists $T \in \mathcal{T}_h$, such that $e \subset \partial T \cap \partial \Omega$ (in that case, *e* is called a boundary edge)
- 3. V_h is a finite collection of vertices corresponding to the end points of each edge in \mathcal{E}_h

where the index h corresponds to the mesh size, that is the maximal diameter among all the mesh elements.

In the following, N^V , N^E and N^P will respectively denote the total number of vertices, edges and polygons inside the mesh. At the local scale, N_T^V and N_T^E will denote the number of vertices and edges inside the element $T \in \mathcal{T}_h$.

On top of that, for any element $T \in \mathcal{T}_h$, \mathcal{V}_T will denote the set of vertices of T and \mathcal{E}_T the set of edges of T. For further purposes involving boundary conditions, we denote by \mathcal{E}_h^i the collection of internal edges and by \mathcal{E}_h^b the collection of boundary edges, such that $\mathcal{E}_h = \mathcal{E}_h^i \cup \mathcal{E}_h^b$. More precisely, we will distinguish between boundary edges enforced with Dirichlet condition $\mathcal{E}_h^{b,d}$, Neumann condition $\mathcal{E}_h^{b,n}$ and Robin conditions $\mathcal{E}_h^{b,r}$.

In the following, we are interested in meshes made of regular-shaped elements, more specifically isotropic meshes with nondegenerate faces. Isotropic means here that we do not consider elements that become more and more stretched while refining the mesh and non-degenerate faces refer to edges whose diameter is uniformly comparable to the diameter of the element to which it belongs. Then, we must assert the following assumption to avoid badly shaped elements in the mesh.

Assumption 3.1 (*Shape-Regularity*). A polygonal mesh Ω_h is said to be shape-regular if there exists a real number $\rho \in (0, 1)$, independent of h, such that every element $T \in \mathcal{T}_h$ is star-shaped with respect to a ball of radius

$$r_T \ge \rho h_T \tag{7}$$

where h_T is the diameter of *T*.

In general, the mesh is assumed to be shape-regular as stated above. However, such an assumption is purely theoretical, and, in practice, this condition can be weakened by considering the mesh to be shape-regular whenever its elements consist of a union of star-shaped subsets [22].

Polynomial spaces. Let $\mathcal{O} \subset \mathbb{R}^2$ be open. In the following, $\mathbb{P}^k(\mathcal{O})$ will denote the space of polynomials of degree less than or equal to *k* over \mathcal{O} , where \mathcal{O} , in practice, can be an element $T \in \mathcal{T}_h$ or an edge $e \in \mathcal{E}_h$. Since we are restricted to the two-dimensional case, we define

$$n_k := \dim \mathbb{P}^k(T) = \frac{(k+1)(k+2)}{2},$$

the dimension of the local polynomial space. We also consider a multiindex $\alpha = (\alpha_1, \alpha_2) \in \mathbb{N}^2$ with length $|\alpha| = \alpha_1 + \alpha_2$ such that, if $\mathbf{x} = (x, y) \in \mathbb{R}^2$, then $\mathbf{x}^{\alpha} = \mathbf{x}^{\alpha_1} \mathbf{y}^{\alpha_2}$. We will work with scaled monomials of the form

$$m_{\alpha} := \left(\frac{\mathbf{x} - \mathbf{x}_{\mathcal{O}}}{h_{\mathcal{O}}}\right)^{\alpha} \tag{8}$$

where $\mathbf{x}_{\mathcal{O}}$ is the barycenter of \mathcal{O} and $h_{\mathcal{O}}$ its diameter. The set of all such polynomials of degree less than or equal to k will be denoted by $\mathcal{M}_k(\mathcal{O}) := \{m_\alpha : |\alpha| \le k\}$, which constitutes a basis of $\mathbb{P}^k(\mathcal{O})$.

For the sake of simplicity, we will associate to each scaled monomial of multi-index $\alpha = (\alpha_1, \alpha_2)$ a scalar index $\alpha = \iota(\alpha)$ via the natural pairing

which is given by the following formula

$$\iota(\alpha_1, \alpha_2) = \frac{(\alpha_1 + \alpha_2)(\alpha_1 + \alpha_2 + 1)}{2} + \alpha_2 + 1.$$
(9)

3.2. Virtual element discretization

A virtual element is a particular type of finite element $(T, V_h(T), D_T)$ in the sense of Ciarlet [23,24], where *T* is a polygonal element of \mathcal{T}_h , $V_h(T)$ is a local space of dimension N_T containing polynomials enriched with non-polynomial functions defined implicitly through a local PDE on *T* and $D_T = \{D_i\}_{1 \le i \le N_T}$ is the set of local degrees of freedom. As a consequence, any local basis $\{\varphi_i\}_{1 \le i \le N_T}$ that satisfies the following local Lagrange property:

$$D_i(\varphi_j) = \delta_j^j, \quad \forall i, j \in \{1, \dots, N_T\},\tag{10}$$

with δ'_i , the usual kronecker symbol. This relation is fundamental and is a consequence of the unisolvence property of the set of degrees of freedom—in other words, it expresses the fact that the operator $\underline{D}_T : v \in V_h(T) \rightarrow (D_1(v), \dots, D_{N_T}(v)) \in \mathbb{R}^{N_T}$ is bijective [25].

Local Projection Operators. One of the key ingredient for building the VEM are projection operators onto local polynomial spaces. They are essential to the virtual element discretization in order to compute the projections of virtual functions onto polynomial spaces, since the virtual functions themselves are not known explicitly. Traditionally, two kind of projections are considered: the L^2 -projection and the H^1 -projection—also called the elliptic projection.

Definition 3.2 (H^1 -Projection). Let $T \in \mathcal{T}_h$ and $k \ge 1$ be an integer. We define the H^1 -projection $\Pi_T^{1,k} : V_h(T) \to \mathbb{P}^k(T)$ such that, for any $v \in H^1(T)$,

$$\begin{cases} \int_{T} \nabla \Pi_{T}^{1,k} v_{h} \cdot \nabla p = \int_{T} \nabla v_{h} \cdot \nabla p, \qquad \forall p \in \mathbb{P}^{k}(T) / \mathbb{P}^{0}(T) \\ P_{0} \left(\Pi_{T}^{1,k} v_{h} \right) = P_{0} v_{h}, \end{cases}$$
(11)

where P_0 : $V_h(T) \to \mathbb{P}^0(T)$ is the projection operator onto constants defined by

$$P_{0}v_{h} = \begin{cases} \frac{1}{N_{V}} \sum_{i=1}^{N_{V}} v_{h}(x_{i}) & \text{for } k = 1\\ \frac{1}{|T|} \int_{T} v_{h} & \text{for } k \ge 2 \end{cases}$$
(12)

This operator is crucial for keeping the system Eq. (11) solvable. While the first equation in the first row in Eq. (11) holds trivially for $p \in \mathbb{P}^0(T)$, it does not provide information to determine the constant component of the projection. Therefore, an additional equation involving the projection onto constants is introduced to recover the constant component.

Definition 3.3 (L^2 -Projection). Let $T \in \mathcal{T}_h$ and $k \ge 0$ an integer. We define the L^2 -projection $\Pi_T^{0,k} : V_h(T) \to \mathbb{P}^k(T)$ such that, for any $v \in L^2(T)$,

$$\int_{T} \Pi_{T}^{0,k} v_{h} p = \int_{T} v_{h} p \qquad \forall p \in \mathbb{P}^{k}(T)$$
(13)

Another important projection operator is the L^2 -projection of the gradient $\Pi_T^{0,k-1}: \nabla V_h(T) \to \mathbb{P}^{k-1}(T)^2$ defined as above as gradients of functions of the virtual space ∇v_h against vector polynomials $p \in \mathbb{P}^{k-1}(T)^2$.

Local Virtual Space. We define the local virtual space for all $T \in \mathcal{T}_h$ by

$$V_{h}(T) = \left\{ \begin{array}{ll} v_{h} \in H^{1}(T) \cap C^{0}(\partial T) : (i) & v_{h} |_{E} \in \mathbb{P}^{k}(T), \forall E \subset \partial T \\ (ii) & \Delta v \in \mathbb{P}^{k}(T) \\ (iii) & (v_{h} - \Pi_{x}^{1,k} v_{h}, p) = 0, \forall p \in \mathbb{P}^{k}(T) / \mathbb{P}^{k-2}(T) \end{array} \right\}.$$

$$(14)$$

The first two conditions (*i*) and (*ii*) show that this space is composed of functions that are polynomials of degree at most k on the edges E of the element T such that they are globally continuous on the boundary ∂T —which means that there are no discontinuities at the nodes. Moreover, the virtual functions inside the element are required to solve a Poisson problem in the weak sense.

It is possible to require the functions inside the element to verify another kind of local PDE. In fact, a wide range of virtual spaces have been built by considering other local problems, such as the divergence-free virtual space [26].

The last condition (*iii*) is added to render the L^2 -projection computable as firstly proposed in [27]. We are then dealing with an enhanced virtual space which is larger than the classical one initially introduced in [12].

Local Degrees of Freedom. In order to represent our solution onto the mesh, we need to attach to each local virtual space a set of local degrees of freedom (DOF). For a 2D VEM, there are three types of DOF fixed to each geometrical component of the element (as depicted in Fig. 3) such that, for all $T \in \mathcal{T}_h$ and for $v_h \in V_h(T)$, we select

1. the value of v_h at the vertices of $T: \forall V \in \mathcal{V}_T$,

$$D^V(v_h) = v_h(\mathbf{x}_V)$$

where x_V corresponds to the coordinates of vertex V;

(15)



2. the value of v_h at the k - 1 internal points of the (k + 1)-point Gauss–Lobatto quadrature rule or, equivalently, the moments of v_h against the monomials of degree up to k - 1 on the edge: $\forall E \in \mathcal{E}_T$,

$$D^{E}(v_{h}) = \frac{1}{|E|} \int_{E} v_{h} m_{\alpha}$$
(16)

with $m_{\alpha} \in \mathcal{M}_{k-1}(E)$;

3. the moments of v_h against the monomials of degree up to k - 2 in the element:

$$D^{T}(v_{h}) = \frac{1}{|T|} \int_{T} v_{h} m_{\alpha}$$
(17)

with $m_{\alpha} \in \mathcal{M}_{k-2}(T)$.

The set of local degrees of freedom therefore consists of

$$D_T = \{D^V\}_{V \in \mathcal{V}_T} \cup \{D^E\}_{E \in \mathcal{E}_T} \cup \{D^T\}.$$

with

$$\operatorname{card}(D_T) = N_T^V + (k-1)N_T^E + n_{k-2} = kN_T^V + n_{k-2} = \dim(V_h(T)) = N_T$$

In the future, the set of DOFs will be indexed as $D_T = \{D_i\}_{1 \le i \le N_T}$, where the DOFs are being ordered as above—that is vertices for $1 \le i \le N_T^V$, edges for $N_T^V + 1 \le i \le k N_T^V$ and cell for $k N_T^V + 1 \le i \le k N_T^V + n_{k-2}$.

3.3. Discrete problem

As in the FEM, the discrete problem is written using the Galerkin method which reads

$$\begin{cases} \text{Find } u_h \in V_h \\ a_h(u_h, v_h) = l_h(v_h), \quad \forall v_h \in V_h. \end{cases}$$
(18)

The global approximation space V_h is obtained by glueing continuously the local virtual spaces $V_h(T)$ over the all the elements $T \in \mathcal{T}_h$, that is

$$V_{h} = \{ v_{h} \in H^{1}(\Omega; \mathbb{C}) \cup C^{0}(\overline{\Omega}) : v_{h} |_{T} \in V_{h}(T), \ \forall T \in \mathcal{T}_{h} \}$$

whose dimension is

$$N = N^{V} + (k - 1)N^{E} + N^{T}n_{k-2}.$$

The only fundamental difference with the FEM relies on how the bilinear form a_h and the load term l_h are defined. Indeed, the VEM exploits the orthogonal decomposition of the global space V_h with respect to the L^2 -projection $\Pi_h^{0,k}$ for the mass term and the elliptic projection $\Pi_h^{1,k}$ for the stiffness. From those decompositions, the bilinear form a_h inherits a consistent term that is exact for polynomials and a stability term that approximates the non-polynomial part of the virtual space.

Bilinear form a_h . Before defining the global discrete bilinear form $a_h : V_h \times V_h \to \mathbb{C}$, we discretize each term that makes it up, starting with the stiffness term $b_h : V_h \times V_h \to \mathbb{C}$ which, for all $u_h, v_h \in V_h$, reads

$$b_{h}(u_{h}, v_{h}) := -\sum_{T \in \mathcal{T}_{h}} \int_{T} \nabla \Pi_{T}^{1,k} u_{h} \cdot \nabla \Pi_{T}^{1,k} v_{h} - \sum_{T \in \mathcal{T}_{h}} s_{h}^{1,T} \left((I - \Pi_{T}^{1,k}) u_{h}, (I - \Pi_{T}^{1,k}) v_{h} \right)$$
(19)

where $s_h^{1,T}$: $V_h(T) \times V_h(T) \to \mathbb{C}$ is a bilinear form satisfying the stability property: for all $v_h \in V_h(T)$, there exist $\lambda_*, \lambda^* > 0$ such that

$$\lambda_* b(v_h, v_h) \leq s_h^{1,T} \left((I - \Pi_T^{1,k}) v_h, (I - \Pi_T^{1,k}) v_h \right) \leq \lambda^* b(v_h, v_h).$$

In the same spirit, we define the mass term $m_h : V_h \times V_h \to \mathbb{C}$ for all $u_h, v_h \in V_h$ by

$$m_h(u_h, v_h) := \kappa^2 \sum_{T \in \mathcal{T}_h} \int_T \Pi_T^{0,k} u_h \cdot \Pi_T^{0,k} v_h + \kappa^2 \sum_{T \in \mathcal{T}_h} s_h^{0,T} \left((I - \Pi_T^{0,k}) u_h, (I - \Pi_T^{0,k}) v_h \right)$$
(20)

where, as before, $s_h^{0,T}: V_h(T) \times V_h(T) \to \mathbb{C}$ is a stable bilinear form, i.e. for all $v_h \in V_h(T)$, there exist $\mu_*, \mu^* > 0$ such that

$$\mu_* m(v_h, v_h) \le s_h^{0,T} \left((I - \Pi_T^{0,k}) v_h, (I - \Pi_T^{0,k}) v_h \right) \le \mu^* m(v_h, v_h).$$

Remark 3.1. The stabilization term is required to get the well-posedness of the discrete variational problem. There are multiple ways of defining it, the most classical one being the so-called "dofi-dofi" stabilization term expressed as follows

$$s_{h}^{r,T}(u_{h},v_{h}) = \sigma_{T}^{r} \sum_{i=1}^{N_{T}} D_{i} \left((I - \Pi_{T}^{r,k}) u_{h} \right) D_{i} \left((I - \Pi_{T}^{r,k}) v_{h} \right) \qquad \forall u_{h}, v_{h} \in V_{h}$$
(21)

where r = 0, 1 enables us to distinguish between the stiffness and mass stabilization case and σ_T^r is a scaling coefficient depending on each case. For r = 0, the coefficient usually scales as a gradient, typically $\sigma_T^0 = h_T^2$ or $\sigma_T^0 = |T|$. For r = 1, we usually take $\sigma_T^1 = 1$.

Finally, we define the discrete boundary term $r_h : V_h \times V_h \to \mathbb{C}$ inherited from the Robin boundary condition, for all $u_h, v_h \in V_h$, by

$$r_h(u_h, v_h) := -i\kappa \sum_{E \in \mathcal{E}_h^{\mathrm{b}, r}} \int_E u_h v_h.$$

Remark 3.2. Note that, in this case, no projection operator is needed before the virtual functions u_h and v_h because, on the boundary of an element, they correspond to polynomials of degree k, which renders the integral over the edge fully computable. This imply that we need to integrate a polynomial of degree 2k over the edge e, which we detail in the dedicated section Section 4.2.

In the end, the global bilinear form $a_h: V_h \times V_h \to \mathbb{C}$ is defined as the sum of all those term, i.e for all $u_h, v_h \in V_h$ we have

$$a_h(u_h,v_h):=b_h(u_h,v_h)+m_h(u_h,v_h)+r_h(u_h,v_h).$$

Load term l_h . The load term $l_h : V_h \to \mathbb{C}$ writes, for all $v_h \in V_h$

$$l_h(v_h) := \sum_{T \in \mathcal{T}_h} \int_T f \Pi_T^{0,k} v_h - \sum_{E \in \mathcal{E}_h^{\mathrm{b},n}} \int_E g_N v_h - \sum_{E \in \mathcal{E}_h^{\mathrm{b},r}} \int_E g_R v_h.$$

Linear System. By writing the discrete solution u_h in the basis virtual basis as

$$u_h = \sum_{i=1}^N D_i(u_h)\varphi_i,$$

one can rewrite Eq. (18) in its equivalent algebraic form as the system

$$\mathbf{A}_{h}\mathbf{u}_{h} = \mathbf{I}_{h}$$

(22)

where $\mathbf{u}_h = (D_1(u_h), \dots, D_N(u_h))^T$ is the discrete unknown, $\mathbf{l}_h = (l_h(\varphi_j))_{1 \le j \le N}$ is the load term and $\mathbf{A}_h = \mathbf{K}_h + \mathbf{M}_h + \mathbf{R}_h$ is the global matrix formed by the sum of the matrices associated with the corresponding terms

$$\begin{split} \mathbf{K}_{h} &= \left(b_{h}(\varphi_{i},\varphi_{j})\right)_{1 \leq i,j \leq N} \\ \mathbf{M}_{h} &= \left(m_{h}(\varphi_{i},\varphi_{j})\right)_{1 \leq i,j \leq N} \\ \mathbf{R}_{h} &= \left(r_{h}(\varphi_{i},\varphi_{j})\right)_{1 \leq i,j \leq N} \end{split}$$

The challenging task now lies in the computation of those matrices. As explained in the next section, it is achieved by applying the integration by part formula and exploiting the properties of the projection operators.

4. Implementation guideline for the virtual element method

In this section, we outline the implementation of the VEM, taking a closer look at the computation of local projection matrices and the application of boundary conditions, specifically Robin boundary conditions. It is worth mentioning to the interested reader that several implementation guides for the VEM have already been published: the hitchhiker's guide for the classical VEM [28], the guide for the divergence-free VEM for mixed problem [29] and, more recently, more general implementation guides for coding the VEM in Matlab [30,31].

For the interesting reader that first wants to take the VEM in hand before coding it, we point out that there already exist many open-source virtual element codes written in different programming languages. Here is a non-exhaustive list: two oriented-object



Fig. 4. Implementation path for coding the virtual element method.

C++ libraries called Veamy [32] and Vem++ [33], a Python module called dune-vem [24], a Matlab package called VEMLab, and many more.

Our programming approach follows the classical implementation path illustrated in Fig. 4 which relies on three main steps that are almost self-sufficient in the sense that they can be coded and verified separately and then connected all together to avoid errors. Depending on the programming language preferred, one could adopt an object-oriented point of view and conceive each step as a class that contains the corresponding objects and methods. Otherwise, a partial implementation can be considered by augmenting an already existing finite element code since the structure is the same and the only main difference lies in the computation of local matrices.

Discretization. Any code for a polytopal method needs two basic tools: a mesh generator and quadrature rules. The mesh generation can be achieved by using open-source software such as Gmsh [34] and PyPolyMesher [35,36] which can also handle polygonal and polyhedral decompositions. More generally, an appropriate mesh structure should possess three main fields vertex, edge and polygon which contain the indices and the important geometrical characteristics (coordinates, measure, centroid, etc.) of the corresponding objects and their subordinate objects (e.g. the polygon field should contain the indices of all the polygons in the mesh, their geometrical characteristics such as the area, the barycenter and so on, and, for each polygon, it must return to the indices of their respective edges in the field edge).

The other crucial point is the quadrature rule for integrating functions, specifically polynomials. In general, considering an open subset $\mathcal{O} \subset \mathbb{R}^2$ —which, in practice, can correspond to an element or an edge—, one should equip the code with a quadrature rule $\mathcal{Q}(\mathcal{O}) = ((\mathbf{x}_k, w_k))_{1 \le k \le n_0}$ over \mathcal{O} such that, for any function $f : \mathcal{O} \to \mathbb{R}$, we have

$$\int_{\mathcal{O}} f(\mathbf{x}) d\mathbf{x} \simeq \sum_{k=1}^{n_{Q}} f(\mathbf{x}_{k}) w_{k}.$$
(23)

As stated above, the preferred quadrature rule for an edge is the Gauss–Lobatto one. For a polygon, one can consider the quadrature rule composed of the ones on each triangle diving the polygon. Another recent technique [37] makes use of the Stokes formula to express any integral over an element as a combination of integrals over lower-dimensional objects (edges or vertices) as explained in [31].

Virtual Space. The core of the code is the computation of the local matrices. To perform such calculations, three ingredients are required: a numbering of degrees of freedom, a way of evaluating the monomial basis and, finally, a routine for computing local projections.

The numbering of degrees of freedom should be done globally and can be based on the indices given by the mesh structure. It is usually done by ordering the DOFs in the following way: vertex DOFs, edge DOFs and cell DOFs—just as globally as locally. The main difference at the local level is that the DOFs are, by convention, arranged clockwise around the element.

Regarding the local basis, it is preferable to pre-compute the monomials at the points of interest (quadrature points for instance) to save computational time. One can even pre-compute the entire monomial basis by considering a matrix of the form $(m_i(\mathbf{x}_j))_{ij}$ where the \mathbf{x}_i are the points of interest.

All those features are essential for computing the local projections as detailed below in Section 4.1 where the expression of the projection matrices, which is obtained by solving a system locally on the element, is then used to compute the local stiffness and mass matrices.

Discrete Problem. Finally, the discrete problem is managed as in the H^1 -conforming FEM. Indeed, the assembly is performed by adding up the local matrices to the global matrix. A sparse assembly with a triplet containing the row index, the column index and the corresponding matrix value is preferred since it is less time consuming. The solution obtained by resolution of the global linear system can then be used for the error analysis and other possible post-treatment.

4.1. Computing the local projection matrices

Local Stiffness Matrix. As explained above, one can write the local stiffness matrix \mathbf{K}_T for all $T \in \mathcal{T}_h$ by

$$\mathbf{K}_{T} = \int_{T} \nabla \varphi_{i} \cdot \nabla \varphi_{j} = \int_{T} \nabla \Pi_{T} \$^{1,k} \varphi_{i} \cdot \nabla \Pi_{T} \$^{1,k} \varphi_{j} + s_{h}^{1,T} \left(\nabla (I - \Pi_{T} \$^{1,k}) \varphi_{i}, \nabla (I - \Pi_{T} \$^{1,k}) \varphi_{j} \right)$$
(24)

Therefore, to compute the stiffness matrix, it is sufficient to calculate the matrix Π^1 associated with the projection operator $\Pi_T^{1,k}$. To do so, we exploit the relation Eq. (11) that characterizes the elliptic projection for a certain virtual basis function φ_j , writing $\Pi_T^{1,k}\varphi_j$ in the monomial basis

$$\Pi_T^{1,k} \varphi_j = \sum_{i=1}^{n_k} s_j^i m_i,$$
(25)

which gives us the following system

.

 n_{k}

$$\sum_{i=1}^{n} s_{j}^{i} \int_{T} \nabla m_{i} \cdot \nabla m_{\alpha} = \int_{T} \nabla \varphi_{j} \cdot \nabla m_{\alpha} \qquad \text{for } 2 \le \alpha \le n_{k}$$
(26a)

$$\sum_{i=1}^{n_k} s_j^i \, \mathcal{P}_0 m_i = \mathcal{P}_0 \varphi_j \qquad \qquad \text{for } \alpha = 1 \tag{26b}$$

which writes equivalently in matrix form

$$\mathbf{Gs}_{i} = \mathbf{B}_{i} \qquad \text{for } 1 \le j \le N_{T} \text{ fixed}$$

$$\tag{27}$$

Matrix G. We denote by **G** the $n_k \times n_k$ matrix

$$\mathbf{G} := \begin{bmatrix} \mathbf{P}_{0}m_{1} & \mathbf{P}_{0}m_{2} & \cdots & \mathbf{P}_{0}m_{n_{k}} \\ 0 & (\nabla m_{2}, \nabla m_{2})_{0,T} & \cdots & (\nabla m_{2}, \nabla m_{n_{k}})_{0,T} \\ \vdots & \vdots & \ddots & \vdots \\ 0 & (\nabla m_{n_{k}}, \nabla m_{2})_{0,T} & \cdots & (\nabla m_{n_{k}}, \nabla m_{n_{k}})_{0,T} \end{bmatrix}.$$
(28)

This matrix can easily be computed by integrating the monomials over the element T, using a quadrature rule on T as in Eq. (23).

Once **G** has been computed, the system Eq. (27) can be extended by varying $1 \le j \le N_T$ instead of keeping it fixed. Hence, the right-hand side is not a vector anymore but a matrix.

Matrix B. We denote by **B** the $n_k \times N_T$ matrix

(

$$\mathbf{B} := \begin{bmatrix} \mathbf{P}_{0}\varphi_{1} & \cdots & \mathbf{P}_{0}\varphi_{N_{T}} \\ (\nabla m_{2}, \nabla \varphi_{1})_{0,T} & \cdots & (\nabla m_{2}, \nabla \varphi_{N_{T}})_{0,T} \\ \vdots & \ddots & \vdots \\ (\nabla m_{n_{l}}, \nabla \varphi_{1})_{0,T} & \cdots & (\nabla m_{n_{l}}, \nabla \varphi_{N_{T}})_{0,T} \end{bmatrix}.$$

$$(29)$$

The first line of the matrix is easy to compute. Indeed, by using the expression of projection operator onto constants P_0 given by Eq. (12) and by recalling that φ_i satisfies Eq. (10), one has

$$\mathbf{B}_{1j} = \begin{cases} 1 & \text{for } k = 1 \\ \delta_j^{kN_T^V + 1} & \text{for } 1 \leq j \leq N_T \end{cases}$$

For $\alpha \geq 2$, we perform an integration by part

$$\mathbf{B}_{\alpha j} = \int_{T} \boldsymbol{\nabla} m_{\alpha} \cdot \boldsymbol{\nabla} \varphi_{j} = -\int_{T} \Delta m_{\alpha} \varphi_{j} + \int_{\partial T} (\boldsymbol{\nabla} m_{\alpha} \cdot \boldsymbol{n}) \varphi_{j}$$

The matrix can then be exactly split into two blocks corresponding to each integral of the right-hand side. Let us start with the boundary integral, we have for $1 \le j \le k N_r^V$

$$\mathbf{B}_{\alpha j} = \int_{\partial T} (\boldsymbol{\nabla} m_{\alpha} \cdot \boldsymbol{n}) \varphi_{j} = \sum_{E \in \mathcal{E}_{T}} \int_{E} (\boldsymbol{\nabla} m_{\alpha} \cdot \boldsymbol{n}_{E}) \varphi_{j}$$

with n_E the outward normal of the edge E (see Fig. 5). Since the basis function φ_j takes the value 1 at the point x_j and 0 everywhere else, the sum over the edges then reduces into one or two terms, depending if the point x_j is attached to a vertex V_j or an edge E_j

$$\mathbf{B}_{\alpha j} = \begin{cases} (\nabla m_{\alpha}(\mathbf{x}_{j}) \cdot \mathbf{n}_{E_{j}} + \nabla m_{\alpha}(\mathbf{x}_{j}) \cdot \mathbf{n}_{E_{j+1}})w_{j} & \text{if attached to } V_{j} \\ (\nabla m_{\alpha}(\mathbf{x}_{j}) \cdot \mathbf{n}_{E_{j}})w_{j} & \text{if attached to } E_{j} \end{cases}$$

where w_i is the Gauss-Lobatto weight associated to the point x_i .



Fig. 5. Sketch of integration over the edges of the element T.

On the other hand, for the integral over the element, by computing the Laplacian of m_{α} (using Eq. (8)), we get for $kN_T^V + 1 \le j \le kN_T^V + 1 + n_{k-2}$

$$\mathbf{B}_{\alpha j} = -\int_{T} \Delta m_{\alpha} \varphi_{j} = -\frac{\alpha_{1}(\alpha_{1}-1)}{h_{T}^{2}} \int_{T} m_{\beta} \varphi_{j} - \frac{\alpha_{1}(\alpha_{1}-1)}{h_{T}^{2}} \int_{T} m_{\gamma} \varphi_{j}$$

where $\beta = \iota(\alpha_1 - 2, \alpha_2)$ and $\gamma = \iota(\alpha_1, \alpha_2 - 2)$ are the corresponding indices which can be computed through Eq. (9) for $\alpha_1, \alpha_2 \ge 1$. The first integral on the right-hand side corresponds to an internal degree of freedom if and only if $m_\beta \in \mathcal{M}_{k-2}(T)$, i.e. $1 \le \beta \le n_{k-2}$, otherwise it reduces to zero. By the same reasoning on the second internal, we get that

$$\mathbf{B}_{\alpha j} = \begin{cases} -\frac{\alpha_1(\alpha_1 - 1)|T|}{h_T^2} & \text{if } 1 \le \beta \le n_{k-2} \\ -\frac{\alpha_2(\alpha_1 - 2)|T|}{h_T^2} & \text{if } 1 \le \gamma \le n_{k-2} \end{cases}$$

We can now solve the system and compute the matrix associated with the elliptic projection $\Pi^1_* = \mathbf{G}^{-1}\mathbf{B}$. However $\Pi^1_* : \mathbb{R}^{n_k} \to \mathbb{R}^{N_T}$ represents the elliptic projection within the monomial basis $\mathcal{M}_k(T)$. Therefore, we need to compute an additional matrix that will allow us to write the matrix $\Pi^1 : \mathbb{R}^{N_T} \to \mathbb{R}^{N_T}$ which represents the elliptic projection within the virtual basis.

Matrix D. We denote by **D** the $N_T \times n_k$ matrix given by

$$\mathbf{D} = \begin{bmatrix} D_1(m_1) & D_1(m_2) & \cdots & D_1(m_{n_k}) \\ D_2(m_1) & D_2(m_2) & \cdots & D_2(m_{n_k}) \\ \vdots & \vdots & \ddots & \vdots \\ D_{N_T}(m_1) & D_{N_T}(m_2) & \cdots & D_{N_T}(m_{n_k}) \end{bmatrix}.$$
(30)

or, equivalently

$$\mathbf{D}_{i\alpha} = D_i(m_\alpha), \quad \text{for } 1 \le i \le N_T \quad \text{and } 1 \le \alpha \le n_k,$$

This matrix can be easily computed from the expression of degrees of freedom. For the DOFs on the boundary of the element (vertices and edges), it corresponds to the evaluation of the monomials at the corresponding points x_i —the vertex points in one case and the k - 1 internal Gauss–Lobatto points in the other. For the DOFs inside the cell, it suffices to compute the moment of every monomial against the monomials $m_i \in \mathcal{M}_{k-2}(T)$. In short, we have

$$\mathbf{D}_{i\alpha} = \begin{cases} m_{\alpha}(\mathbf{x}_i) & \text{for } 1 \le i \le k N_T^V \\ \frac{1}{|T|} \int_T m_{\alpha} m_j & \text{for } 1 \le j \le n_{k-2}, \text{ and } k N_T^V + 1 \le i \le N_T \end{cases}$$

Consequently, the matrix $\mathbf{D} : \mathbb{R}^{n_k} \to \mathbb{R}^{N_T}$ can be understood as a change-of-basis matrix from the basis of monomials $\mathcal{M}_k(T)$ to the virtual basis $(\varphi_j)_{1 \le j \le N_T}$ of $V_h(T)$.

 H^1 -**Projection** Π^1 . We denote by Π^1 the matrix defined by

$$\boldsymbol{\Pi}^{1} = \boldsymbol{D}\boldsymbol{\Pi}_{*}^{1} = \boldsymbol{D}(\boldsymbol{G}^{-1}\boldsymbol{B}).$$
(31)

A practical way of checking that Π^1 is well-computed is to check that

 $\mathbf{G} = \mathbf{B}\mathbf{D}.$

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Finally, the local stiffness matrix \mathbf{K}_T reads

$$\mathbf{K}_{T} = (\boldsymbol{\Pi}_{*}^{1})^{\mathrm{T}} \widetilde{\mathbf{G}} (\boldsymbol{\Pi}_{*}^{1}) + \boldsymbol{\sigma}_{T}^{1} (\mathbf{I} - \boldsymbol{\Pi}^{1})^{\mathrm{T}} (\mathbf{I} - \boldsymbol{\Pi}^{1})$$
(32)

where $\widetilde{\mathbf{G}}$ is equal to \mathbf{G} except for the first row which is set to zero, and the stabilization parameter $\sigma_T^1 = 1$ as explained in Remark 3.1.

Local L²-Projection. For all $T \in \mathcal{T}_h$, the local mass matrix \mathbf{M}_T can be written in the following form:

$$\mathbf{M}_T = \int_T \varphi_i \varphi_j = \int_T \Pi_T^{0,k} \varphi_i \Pi_T^{0,k} \varphi_j + s_h^{0,T} \left((I - \Pi_T^{0,k}) \varphi_i, (I - \Pi_T^{0,k}) \varphi_j \right).$$

To compute the local mass matrix, it is then sufficient to calculate the matrix Π^0 associated with L^2 -projection operator $\Pi_T^{0,k}$. We consider the decomposition of $\Pi_T^{0,k}\varphi_j$ in the monomial basis

$$\Pi_T^{0,k}\varphi = \sum_{i=1}^{n_k} t_j^i m_i$$

which, injected in Eq. (13), gives us the following system

$$\sum_{i=1}^{n_k} t_j^i \int_T m_i m_\alpha = \int_T \varphi_j m_\alpha \quad \text{ for } 1 \le \alpha \le N_T$$

which can also be written

$$\mathbf{H}\mathbf{t}_j = \mathbf{C}_j$$

Matrix H. We denote by **H** the $n_k \times n_k$ matrix given by

$$\mathbf{H} := \begin{bmatrix} (m_1, m_1)_{0,T} & \cdots & (m_1, m_{n_k})_{0,T} \\ \vdots & \ddots & \vdots \\ (m_{n_k}, m_2)_{0,T} & \cdots & (m_{n_k}, m_{n_k})_{0,T} \end{bmatrix},$$
(33)

or, equivalently

 $\mathbf{H}_{\alpha\beta} = (m_{\alpha}, m_{\beta})_{0,T}, \quad \text{ for } 1 \le \alpha, \beta \le n_k,$

This matrix can easily be computed by integrating the monomials over the element T, using the quadrature rule on T as in Eq. (23).

Matrix C. We denote by C the $n_k \times N_T$ matrix given by

$$\mathbf{C}_{ij} := (m_i, \varphi_j)_{0,T}, \quad \text{for } 1 \le i \le n_k \quad \text{and } 1 \le j \le N_T$$
(34)

This matrix can be treated into two different parts. First, we observe that, when $1 \le i \le n_{k-2}$, C_{ij} can be rewritten as a degree of freedom

$$\mathbf{C}_{ii} = |T| D_i(\varphi_i) = |T| \delta_i^j$$

which gives us an identity matrix scaled by the area of the polygon |T| for the first block $1 \le i, j \le n_{k-2}$. Then, when $n_{k-2}+1 \le i \le n_k$, one recognizes the enhancing condition of the virtual space defined above Eq. (14) and we can hence write

$$\mathbf{C}_{ij} = (m_i, \Pi_T^{1,k} \varphi_j)_{0,T} = (\mathbf{H}\mathbf{G}^{-1}\mathbf{B})_{ij}$$

 L^2 -**Projection** Π^0 . We denote by Π^0 the matrix defined by

 $\boldsymbol{\Pi}^{0} = \mathbf{D}\boldsymbol{\Pi}^{0}_{*} = \mathbf{D}(\mathbf{H}^{-1}\mathbf{C})$ (35)

A practical way of checking that Π^0 is well-computed is to check that

 $\mathbf{H} = \mathbf{C}\mathbf{D}.$

Finally, the local mass matrix M_T reads

$$\mathbf{M}_T = \mathbf{C}^T \mathbf{H}^{-1} \mathbf{C} + \sigma_T^0 (\mathbf{I} - \boldsymbol{\Pi}^0)^{\mathrm{T}} (\mathbf{I} - \boldsymbol{\Pi}^0)$$
(36)

where the stabilization parameter $\sigma_T^0 = |T|$ as explained in Remark 3.1.

Local load term. The load term easily writes

 $\mathbf{l}_T = (\mathbf{\Pi}^0_*)^T F$

where *F* is the vector formed by the moments of *f* against the monomials of $\mathcal{M}_k(T)$, i.e. $F = \left(\int_T f m_1, \dots, \int_T f m_{n_k}\right)^T$.

Global Assembly. Below, in the algorithm 1 algorithm, we propose a pseudo-code implementation of the virtual element method and the algorithm 2 takes into account Dirichlet and Robin boundary conditions.

 λx_{GL}^1

k = 3

Algorithm 1 Global assembly of the linear system

k = 1

1: for $T \in \mathcal{T}_h$ do 2: Compute B, D, G, C, HCompute Π^1_* , Π^1 , Π^0_* , Π^0 3: Compute the local stiffness matrix: $\mathbf{K}_T = (\mathbf{\Pi}^1_*)^T \widetilde{\mathbf{G}} (\mathbf{\Pi}^1_*) + (\mathbf{I} - \mathbf{\Pi}^1)^T (\mathbf{I} - \mathbf{\Pi}^1)$ 4: Compute the local mass matrix: $\mathbf{M}_T = \mathbf{C}^T \mathbf{H}^{-1} \mathbf{C} + |\mathbf{T}| (\mathbf{I} - \boldsymbol{\Pi}^0)^T (\mathbf{I} - \boldsymbol{\Pi}^0)$ 5: $A_h \leftarrow A_h + (-\mathbf{K}_T + \kappa^2 \mathbf{M}_T)$ ▷ Adds global contributions 6: 7: end for $||V_1 - V_0|| = \lambda$ V_1 λx_{G}^1 λx_{G}^{2}

Fig. 6. 1D element $[\xi_0, \xi_0 + \lambda]$ representation for different orders k, with \bullet : Summits dofs,

4.2. Imposing boundary conditions

 λx_{G}^{0}

In this section, we focus on the implementation of mixed boundary conditions: a mixed Neumann and Dirichlet condition with a particular emphasis on calculating the Robin term $r_h(u_h, v_h)$ in our variational formulation.

Algorithm 2 Imposing boundary conditions in the linear system	
1: for $E \in \mathcal{E}_h^b$ do	
2: if $E \in \mathcal{E}_{h}^{\mathbf{b},\mathbf{r}}$ then	
3: Compute \mathbf{R}_E	
4: $A_h \leftarrow A_h + \mathbf{R}_E$	▷ Adds global contributions
5: else if $e \in \mathcal{E}_h^{b,d}$ then	
6: $A_h \leftarrow 1$	\triangleright Set the line to 0 and add a 1 to the correct DDL position
7: $l_h \leftarrow g_D$	\triangleright Set the value of the DC on this DDL
8: end if	
9: end for	

Unlike the mass matrix \mathbf{M}_h and the stiffness matrix \mathbf{K}_h , which are calculated using the virtual element formalism, the Robin matrix \mathbf{R}_h is calculated in a manner analogous to Lagrange high-order finite elements, with the difference that the degrees of freedom are not placed in the same locations on the edge.

We can express the global matrix \mathbf{R}_h associated to the formulation r_h in a basis of classical shape function, thus

$$(\mathbf{R}_{h})_{ij} = \left(\int_{\Gamma_{R}} \alpha(x, y) \boldsymbol{\Phi}_{j}(x, y), \boldsymbol{\Phi}_{i}(x, y)\right)_{ij},$$

with $\alpha : \mathbb{R}^2 \to \mathbb{R}$. The boundary Γ_R can be decomposed into a sum of 1D elements that can be characterized by the segment $[\xi_0, \xi_0 + \lambda]$ between two vertices V_0 and V_1 . These elements are segments joining 2 consecutive points of the edge. The Φ_i basis function attached to the *i* vertex of the edge, restricted to the edge element, is a polynomial of degree *k* on the edge. These characteristic 1D elements are shown in Fig. 6 with the degrees of freedom corresponding to the Gauss–Lobatto quadrature points on $[0, \lambda]$. Consequently, the higher the order, the more points there will be on the segment.

In this way, we can express the local edge matrix for all $E \in \mathcal{E}_h^{b,r}$

$$\mathbf{R}_{E} = \left(\int_{0}^{\lambda} \alpha_{*}(\xi_{0} + \xi) l_{i}(\xi) d\xi \right)_{0 \le i,j \le k}$$
(37)

with l_i , l_j polynomial test functions of order k, $\alpha_*(\xi_0 + \xi) = \alpha(V_0 + \xi \vec{i})$ the 1D restriction of α and \vec{i} the tangential unit vector (from V_0 to V_1). We can easily deduce the explicit form of l_i because we have $\forall i, j \in [0, k]$,

$$(\lambda x_{GL}^j) = \delta_j^i, \tag{38}$$

with x_{GL}^{i} the *j* – th Gauss–Lobatto quadrature point on [0,1] (see Fig. 6). We can therefore deduce from the Lagrange polynomials:

$$l_i(\xi) = \sum_{j=0}^k \delta_j^i \left(\prod_{m=0, m \neq j}^k \frac{\xi - \lambda x_{\rm GL}^m}{\lambda x_{\rm GL}^i - \lambda x_{\rm GL}^m} \right) = \frac{1}{\lambda^k} \prod_{m=0, m \neq i}^k \frac{\xi - \lambda x_{\rm GL}^m}{x_{\rm GL}^i - x_{\rm GL}^m}$$

For example, for k = 1, $l_0(\xi) = \frac{\lambda - \xi}{\lambda}$ and $l_1(\xi) = \frac{\xi}{\lambda}$. Now we can compute the local matrix \mathbf{R}_E^h of size (k + 1, k + 1) through Eq. (37). All we need to do is calculate the integrals using a quadrature method, such as Gauss-Lobatto, which was introduced in the section on virtual elements, Section 3.

After that, \mathbf{R}_h is assembled in the same way as conventional finite element assemblies. Except that here, instead of iterating over all the DOFs of all the elements in the mesh, we only iterate among the DOFs of the edges belonging to Γ_R .

Remark 4.1. Let us give a few more useful points:

• In the case where α is a constant function (which is the case for the Helmholtz equation), we can simplify Eq. (37) using a change of variable to obtain the following local matrix equation Eq. (39) (see calculation in Appendix A for more details).

$$\mathbf{R}_{E} = \left(\int_{0}^{\lambda} \alpha_{*}(\xi_{0} + \xi) l_{i}(\xi) d\xi\right)_{0 \le i, j \le k} = \alpha \,\lambda \left(\int_{0}^{1} \tilde{l}_{i}(\xi) \tilde{l}_{j}(\xi) d\xi\right)_{0 \le i, j \le k},\tag{39}$$

with \tilde{l}_i the polynomials for a unit element [$\xi_0, \xi_0 + 1$]. So all we need to do is evaluate the integral equation Eq. (39) once to obtain all the local matrices of the boundary segments. Moreover, this integral deals with a polynomial of degree 2k, which can be evaluated exactly using a quadrature method with k + 2 Gauss-Lobatto points.

• In the case where the Robin boundary condition is inhomogeneous, the approach is similar. We express the matrix analogously to the matrix in (37).

$$\mathbf{L} = \int_{\Gamma_R} \beta(x, y) \boldsymbol{\Phi}_i(x, y). \tag{40}$$

with $\beta : \mathbb{R}^2 \to \mathbb{R}$. Then we express the local matrix always on the segments characterized by $[\xi_0, \xi_0 + \delta]$,

$$\mathbf{L}_E = \left(\int_0^\lambda \beta_*(\xi_0 + \xi) l_i(\xi) \, d\xi\right)_{1 \le i \le k+1},\tag{41}$$

with l_i a polynomial test function of order k, $\beta_*(\xi_0 + \xi) = \beta(V_0 + \xi \vec{i})$ the 1D restriction of β on Γ_R and \vec{i} the tangential unit vector (from V_0 to V_1). Here, we calculate and assemble this matrix as before. Moreover, we can always simplify the calculation of this matrix if β is a constant function, then

$$\mathbf{L}_{E} = \lambda \beta \left(\int_{0}^{1} \tilde{l}_{i}(\xi) \, d\xi \right)_{1 \le i \le k+1}.$$
(42)

5. Results and applications

In this section, we will present the numerical results obtained thanks to the virtual element schemes. First, we performed a validation of the scheme on problems with manufactured solutions. Then a more realistic test case is performed.

5.1. Test case with analytical solutions

Let us considered a domain $\Omega := [0,1] \times [0,1]$ where we solve Eq. (43) with Dirichlet and Robin's boundary conditions Eq. (43).

with the manufactured solution given by,

$$u_{\text{exact}}(x, y) = (x + y) \cdot (1 + xi) + \exp(x^2 + i y^2),$$

$$f(x, y) = -((2x)^2 + (2i y)^2 + 2(1 + i)) \cdot \exp(x^2 + i y^2) + \kappa^2 \cdot u_{\text{exact}}(x, y),$$

$$g(x, y) = (1 + i) + (2i y) \cdot \exp(x^2 + i y^2) + i \kappa \cdot u_{\text{exact}}(x, y).$$
(44)

and represented by Fig. 7.

For this analytical case, we take the geometry of a unit square and link it with regular triangles, irregular triangles, irregular quadrilaterals and polygons. To generate these meshes, we use the Gmsh [34] and PyPolyMesher [35,36]. We perform calculations from order 1 to order 5 on maximum cell diameters h from 0.05 to 0.7 m. We then compute the L^2 error for each calculation. The results are shown in Fig. 8.

We find the expected rate of convergence $O(h^{k+1})$.



Fig. 7. Real and imaginary part of u_{exact} .



Fig. 8. Convergence tests for the problem Eq. (43). Left: Different meshes used (regular triangle, unstructured triangle, unstructured quadrilaterals, polygons). Right: Associated L^2 error for different orders k and different cell diameters h. Observed convergence of order $O(h^{k+1})$.

5.2. Relevance of robin boundary conditions

To show the interest of a Robin boundary condition in our problem, we look at the problem represented by Fig. 9. In this problem, we want to calculate the amplitude of reflected waves around a_1 island. Thus, we have an incident wave arriving at 0° with an



Fig. 9. Sketch of the island reflection problem.

Solving the Helmholz problem with a Robin condition on Γ_{inf}



Solving the Helmholz problem with a Neuman condition on Γ_{inf}

Fig. 10. Comparison of the results obtained on the island problem by solving the Helmholtz equation with a Robin condition (top) and a zero Neumann condition (bottom).

amplitude of 2 m and a period of 20 s. This wave is reflected on the boundary island Γ_D . On the other hand, the wave must be able to leave the domain freely via the boundary Γ_{inf} .

The reflected wave is calculated by the following [9] equation and the wave leaving condition at infinity Γ_{inf} will be studied for a Robin (red left) and zero Neumann (red right) condition.

$$\begin{cases} \Delta u + \kappa^2 u = 0 \quad , \quad \text{in } \Omega , \\ u = -u_{\text{inc}} \quad , \quad \text{on } \Gamma_{\text{D}} , \quad \text{or} \end{cases} \begin{cases} \Delta u + \kappa^2 u = 0 \quad , \quad \text{in } \Omega , \\ u = -u_{\text{inc}} \quad , \quad \text{on } \Gamma_{\text{D}} , \\ \frac{\partial u}{\partial n} + i \kappa u = 0 \quad , \quad \text{on } \Gamma_{\text{Inf}} . \end{cases}$$

The results of this study are shown in Fig. 10.

The reflected fields in Fig. 10 are significantly different depending on the two different boundary conditions.

The Helmholtz equation:

 $\begin{cases} \Delta u + \kappa^2 u = 0, & \text{in } \Omega, \\ u = u_I, & \text{in } \Gamma_{\text{in}}, \\ \frac{\partial u}{\partial n} + i\kappa u = 0, & \text{in } \Gamma_{\text{out}}, \end{cases}$



Port location

Port boundary

 Γ_{out}

ΓD

 Γ_{in}

Fig. 11. Configuration of our study of the port of Cherbourg.

5.3. Application case: Wave field calculation in port of cherbourg

In this section, we apply the solution of the Helmholtz and Berkhoff equations to a coastal engineering problem. We take the case of the port of Cherbourg in France and calculate the associated wave fields under certain conditions. First, we select our study site, as shown in Fig. 11 (left). Next, we break down the contour into 3 different boundaries (Fig. 11 (center)): Γ_{in} the harbor entrance, Γ_{out} the harbor exit and Γ_{D} the port walls. Finally, we assign the correct boundary condition to these edges (Fig. 11 (right)).

The Γ_{in} boundary condition is modeled by an inhomogeneous Dirichlet condition taking the incident field as argument. The Γ_{out} boundary condition is modeled by a Robin condition allowing the wave to exit without disturbing other wave fields. More information on this condition in Section 5.2. The Γ_{D} boundary condition is modeled by an inhomogeneous Dirichlet condition with a reflection coefficient γ . First, we will look at the importance of this reflection coefficient in Section 5.3.1. Finally, we will compare the results with different orders of the virtual element method, in Section 5.3.2.

5.3.1. Sensitivity of the γ reflection coefficient

In this section, we look at the influence of the harbor wall reflection coefficient γ on wave fields. We compare reflected and total wave fields for two different reflection coefficients, $\gamma = 1$ (Fig. 12 (top)) and $\gamma = 0.5$ (Fig. 12 (bottom)). For this study, we generate an incident wave field entering the harbor at 280 ° with a maximum amplitude $u_{max} = 1$ m and a wave period $T_0 = 8$ s. This incident field can be seen in Fig. 12 (left). The results of this study are shown in Fig. 12 with (i) on the left, the incident field (ii) in the middle, the reflected field (solution of the Helmholtz equation) (iii) on the right, the total field.

The results in Fig. 12 show that by halving the reflection coefficient γ , the reflected wave field is also halved.

5.3.2. Sensitivity to order of resolution

In this section, we look at the influence of the order of solution of the virtual element method on the solution of the Helmholtz problem. We compare the reflected and total wave fields for two orders of resolution with fairly coarse mesh (Fig. 13), order 1 (Fig. 13 top) with 81 degrees of freedom and order 5 (Fig. 13 bottom) with 881 degrees of freedom. For this study, we generate an incident wave field entering the harbor at 250 ° with a maximum amplitude $u_{max} = 1$ m and a wave period $T_0 = 8$ s. The results of this study are shown in Fig. 13 with (i) on the left, the incident field (ii) in the middle, the reflected field (solution of the Helmholtz equation) (iii) on the right, the total field.

Unsurprisingly, the results in Fig. 13 show that results in order 5 are more accurate than those in order 1.

6. Discussion

Convergence tests of our model on the Helmholtz equation showed its quasi-optimal convergence rates (Fig. 8). We modeled the port of Cherbourg, taking into account boundary conditions. The choice of a Robin condition proved relevant for the outflow condition, as shown in the Fig. 10 where it is clear that the wave reflected under Robin's condition (top) can leave freely, while the wave reflected under Neumann's condition (bottom) seems to be disturbed under this condition. A robin edge condition offers a clear advantage in terms of computation time, compared with perfectly matched layer (PML) conditions [38], which also allow the wave to pass, but require an additional computation on an extension of the domain.

Next, we have seen that the reflection coefficient of the walls plays a major role in the model results. Indeed, the results in Fig. 12 showed a totally different total wave field (incident + reflected), so that the harbor's eigenmodes are no longer located in exactly the same places for the two configurations. It is therefore very important to calibrate this reflection condition correctly.

Finally, we have seen that order can also play a major role in the accuracy of results, as shown by Fig. 13. Indeed, we note that with order 1, it is very difficult to capture the port's eigenmodes, whereas with order 5, the port's eigenmodes are distinguishable.



Solving the Helmholz problem with $\gamma = 1$

Fig. 12. Comparison of wave fields for the two reflection coefficients $\gamma = 1$ (top) and $\gamma = 0.5$ (bottom). Problem condition: $\alpha = 280^{\circ}$, $u_{max} = 1$ m and $T_0 = 8$ s.



Solving the Helmholz problem with k=1

Fig. 13. Comparison of wave fields for the two order of resolution k = 1 (top) and k = 5 (bottom). Problem condition: $\alpha = 250^{\circ}$, $u_{\text{max}} = 1$ m and $T_0 = 8$ s.



Fig. 14. Comparison of wave fields for two different sea bottom: a flat bottom (top) and a linear bottom (bottom). Problem condition: $\alpha = 280^{\circ}$, $u_{max} = 2$ m and $T_0 = 8$ s.

Many precautions need to be taken to model the port as accurately as possible [20]. It is also possible to go further in the modeling by taking into account a variable bottom (which is not the case for the Helmholtz model). To do this, at lower cost, we can solve the following Mild-Slope equation Eq. (B.1), taking into account bottom variability.

$$\begin{cases} \nabla(C_p C_g \nabla u) + \kappa^2 C_p C_g u = 0, & \text{in } \Omega, \\ u = u_I, & \text{in } \Gamma_{\text{in}}, \\ \frac{\partial u}{\partial n} + i\kappa u = 0, & \text{in } \Gamma_{\text{out}}, \\ u = -\gamma u_I & \text{in } \Gamma_{\text{D}}. \end{cases}$$
(45)

with

$$C_p = \frac{\omega}{\kappa} \quad \text{and} \quad C_g = \frac{1}{2} C_p \left[1 + \kappa h \frac{1 - \tanh^2(\kappa h)}{\tanh(\kappa h)} \right].$$
(46)

The choice of boundary conditions has been explained in the application Section 5.

To approximate this equation easily, simply consider $C_p C_g$ and $\kappa^2 C_p C_g$ constants per cell. Details of the variational formulation are given in Appendix B.

The influence of a variable bottom on the calculation of wave fields can be seen directly in the following example. We compare a simulation with a flat bottom at a depth of 5 m (Fig. 14 top left) using the Helmholtz model, with a linear bottom (Fig. 14 bottom left) using the Berkhoff model. For this study, we generate an incident wave field entering the harbor at 280 ° with a maximum amplitude $u_{\text{max}} = 2$ m and a wave period $T_0 = 8$ s. To make the modeling more realistic, [39] breaking wave criterion is added. This decreases wave amplitude linearly with depth. This incident field can be seen in Fig. 14. The results of this study are shown in Fig. 14 from left to right: (i) the depth (ii) the incident field (iii) the reflected field (iv) the total field.

The results in Fig. 14 show that the lack of depth limits the formation of eigenmodes. In fact, in the flat-bottom simulation (top), eigenmodes are formed in the upper and lower parts of the harbor; whereas in the linear-bottom simulation (bottom), eigenmodes are no longer formed where there is almost no water: in the lower part of the harbor.

Remark 6.1. For this model, the accuracy will be less good than Helmholtz's due to the approximation made by cells.

7. Conclusion

In this article, we have addressed a wave propagation problem in coastal engineering using the high-order Virtual Element Method (VEM). This approach offers significant improvements over classical finite element methods, particularly in its ability to handle complex, non-conforming meshes while maintaining high accuracy. It also enables the targeted computation of eigenmodes in geometrically intricate domains, such as harbor basins. A key contribution of this study lies in the detailed implementation of the VEM, including local projection operators and stabilization terms. Special emphasis was placed on the integration of a high-order Robin boundary condition, which plays a crucial role in simulating open boundaries with minimal spurious reflections — an aspect rarely treated in the literature. The application to the port of Cherbourg demonstrated the method's effectiveness in realistic settings. The results confirmed that simulation quality is highly sensitive to parameters such as the wall reflection coefficient and VEM order. These findings highlight the importance of proper parameter calibration for accurate coastal simulations. Overall, this work provides both a theoretical and practical framework for using VEM in wave modeling and may serve as a foundation for future studies involving hybrid methods or variable seabed. It offers a valuable tool for coastal engineers and applied mathematicians, in line with recent developments such as those in [20].

Declaration of competing interest

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: Dupont Ronan reports financial support was provided by National Centre for Scientific Research. Dupont Ronan reports a relationship with National Centre for Scientific Research that includes:. If there are other authors, they declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Appendix A. Calculation details of Eq. (39)

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The following provides the detailed calculation for the expression derived in Eq. (39).

$$\begin{aligned} \mathbf{R}_{e}^{h} &= \left(\int_{0}^{\pi} \alpha_{*}(\xi_{0} + \xi) l_{i}(\xi) l_{j}(\xi) d\xi\right)_{0 \leq i, j \leq k}, \\ a_{*} &= a = cte} \left(\frac{\alpha}{\lambda^{2k}} \int_{0}^{\lambda} \left[\prod_{m=0, m \neq j}^{k} \frac{\xi - \lambda x_{\mathrm{GL}}^{m}}{x_{\mathrm{GL}}^{i} - x_{\mathrm{GL}}^{m}} \prod_{m=0, m \neq j}^{k} \frac{\xi - \lambda x_{\mathrm{GL}}^{m}}{x_{\mathrm{GL}}^{j} - x_{\mathrm{GL}}^{m}}\right] d\xi \right)_{0 \leq i, j \leq k}, \\ &= \left(\frac{\alpha}{\lambda^{2k}} \int_{0}^{1} \left[\prod_{m=0, m \neq j}^{k} \frac{\lambda \xi' - \lambda x_{\mathrm{GL}}^{m}}{x_{\mathrm{GL}}^{i} - x_{\mathrm{GL}}^{m}} \prod_{m=0, m \neq j}^{k} \frac{\lambda \xi' - \lambda x_{\mathrm{GL}}^{m}}{x_{\mathrm{GL}}^{i} - x_{\mathrm{GL}}^{m}}\right] \lambda d\xi' \right)_{0 \leq i, j \leq k}, \\ &= \left(\frac{\alpha}{\lambda^{2k}} \int_{0}^{1} \left[\prod_{m=0, m \neq j}^{k} \lambda \frac{\xi' - x_{\mathrm{GL}}^{m}}{x_{\mathrm{GL}}^{i} - x_{\mathrm{GL}}^{m}} \prod_{m=0, m \neq j}^{k} \lambda \frac{\xi' - x_{\mathrm{GL}}^{m}}{x_{\mathrm{GL}}^{i} - x_{\mathrm{GL}}^{m}}\right] \lambda d\xi' \right)_{0 \leq i, j \leq k}, \\ &= \left(\alpha\lambda \int_{0}^{1} \left[\prod_{m=0, m \neq j}^{k} \frac{\xi' - x_{\mathrm{GL}}^{m}}{x_{\mathrm{GL}}^{i} - x_{\mathrm{GL}}^{m}} \prod_{m=0, m \neq j}^{k} \frac{\xi' - x_{\mathrm{GL}}^{m}}{x_{\mathrm{GL}}^{i} - x_{\mathrm{GL}}^{m}}\right] \lambda d\xi' \right)_{0 \leq i, j \leq k}, \\ &= \alpha\lambda \left(\int_{0}^{1} \tilde{l}_{j}(\xi)\tilde{l}_{i}(\xi) d\xi \right)_{0 \leq i, j \leq k}. \end{aligned}$$
(A.1)

Appendix B. Solving the mild-slope equation

The amplitude of the reflected wave u_R can also be obtained by solving the Berkhoff equation [10], in the case of a variable bottom,

$$\begin{cases} \nabla (C_p C_g \nabla u) + \kappa^2 C_p C_g u = 0, & \text{in } \Omega, \\ u = u_I, & \text{in } \Gamma_{\text{in}}, \\ \frac{\partial u}{\partial n} + i\kappa u = 0, & \text{in } \Gamma_{\text{out}}, \\ u = -\gamma u_I & \text{in } \Gamma_{\text{D}}. \end{cases}$$
(B.1)

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with

$$C_p = \frac{\omega}{\kappa} \quad \text{and} \quad C_g = \frac{1}{2} C_p \left[1 + \kappa h \frac{1 - \tanh^2(\kappa h)}{\tanh(\kappa h)} \right].$$
(B.2)

The choice of boundary conditions has been explained in the application Section 5.

Remark. In practice, κ is obtained simply by using the [40] approximation.

Now, we consider the [10] Eq. (B.1) and thus the following variational formulation:

$$\begin{cases} \text{find } v \in V = H_0^1(\Omega) \text{ such that} \\ A(u,v) = 0 \quad \forall v \in V, \end{cases}$$
(B.3)

where,

$$A(u,v) = \int_{\Omega} \nabla (C_p C_g \nabla u v) + \int_{\Omega} \kappa^2 C_p C_g u v \quad .$$
(B.4)

Discrete Mild-Slope Problem.

find u

The discrete problem read as follow. Find $u_h \in V_h \subset V$ such that

$$h \in V_h \subset V \text{ such that} \qquad A_h(u_h, v_h) = 0 \quad \forall v_h \in V_h, \tag{B.5}$$

where $V_h \subset V$ is a finite dimensional space and $A_h(\cdot, \cdot) : V_h \times V_h \to \mathbb{R}$ is a discrete bilinear form approximating the continuous form $A(\cdot, \cdot)$.

We thus have the discrete form:

$$\begin{split} A_{h}(u_{h},v_{h}) &= \sum_{T \in \mathcal{T}_{h}} \left[\int_{T} \nabla (C_{p}C_{g}\nabla u_{h}v_{h}) + \int_{T} k^{2}C_{p}C_{g}u_{h}v_{h} \right], \\ & \underset{1/|T| \int_{T} \overset{\sim}{\sim}_{C_{p}C_{g}=\mathcal{A}_{T}}}{\approx} \sum_{T \in \mathcal{T}_{h}} \left[\mathcal{A}_{T} \int_{T} (\varDelta u_{h}v_{h}) + \mathcal{B}_{T} \int_{T} u_{h}v_{h} \right], \\ & \underset{1/|T| \int_{T} \kappa^{2}C_{p}C_{g}=\mathcal{B}_{E}}{\approx} \sum_{T \in \mathcal{Q}_{h}} \left[-\mathcal{A}_{T} \int_{T} \nabla u_{h}\nabla v_{h} + \mathcal{B}_{T} \int_{T} u_{h}v_{h} - \mathbb{1}_{\Gamma_{\text{out}}\subset T} i\mathcal{A}_{T} \int_{\Gamma_{\text{out}}} \kappa u_{h}v_{h} \right], \end{split}$$
(B.6)
$$& \underset{\frac{\partial v}{\partial v/\partial n = -iku}}{\approx} \sum_{T \in \mathcal{Q}_{h}} \left[-\mathcal{A}_{T} \int_{T} \nabla u_{h}\nabla v_{h} + \mathcal{B}_{T} \int_{T} u_{h}v_{h} - \mathbb{1}_{\Gamma_{\text{out}}\subset T} i\mathcal{A}_{T} \int_{\Gamma_{\text{out}}} \kappa u_{h}v_{h} \right], \\ & = a_{h}(u_{h}, v_{h}) + r_{h}(u_{h}, v_{h}). \end{split}$$

with a_h and r_h the discrete forms of a and r: defined in the same way as above, $\mathbb{1}_{\Gamma_{\text{out}} \subset E}$ the indicator function and $u = u + u_D$ and u_D is the lifting of $-\gamma u_I$ or u_I (depending on the border).

Remark. Unlike the discrete formulation of the homogeneous Helmholtz equation [9] (see Section 3.3), the discrete formulation of the Berkhoff equation Eq. (B.6) assumes that κ^2 and $C_p C_g$ are constant for each cell in the mesh.

Data availability

No data was used for the research described in the article.

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